

RRM - Response 10/17  
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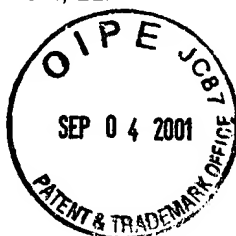
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/894,898	06/28/2001	John W. Butzger	SRI/4438

CONFIRMATION NO. 3387

## FORMALITIES LETTER



\*OC000000006440829\*

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595 SHERWSBURY AVENUE  
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Date Mailed: 08/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$264.
  - \$144 for 16 total claims over 20.
  - \$120 for 3 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 684.

09/06/2001 MAHME1 00000023 09894898

01 FC:201	355.00 OP
02 FC:202	120.00 OP
03 FC:203	144.00 OP
04 FC:205	65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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**PATENT APPLICATION**

Assistant Commissioner for Patents

**Box Missing Parts**

Washington, D. C. 20231

Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application" dated August 17, 2001 we received in connection with the following US patent application:

Applicants: **Butzberger et al.**

Title of application: **METHOD OF DYNAMICALLY ALTERING GRAMMARS IN A MEMORY EFFICIENT SPEECH RECOGNITION SYSTEM**

Serial No.: **09/894,898**

Filed: **June 28, 2001**

Attorney Docket No.: **SRI/4438**

We enclose the following material herewith:

- ☒ Declaration and Power of Attorney;
- ☐ Verified statement claiming small entity status;
- ☐ Verified English translation of the application;
- ☐ Our check in the amount of \$ \_\_\_\_\_ to cover the basic filing fee of \$ \_\_\_\_\_, additional claim fees of \$ \_\_\_\_\_ and the surcharge under 37 CFR 1.16(e) in the amount of \$ \_\_\_\_\_;
- ☒ Copy of PTO Notice to File Missing Parts of Nonprovisional application issued in connection with this application.

We have also enclosed the following item(s) in connection with this application:

- ☐ A claim to priority
- ☐ Priority Document;
- ☒ Other: Assignment of Application to: SRI International;
- ☐ Information Disclosure Statement, PTO 1449.

A check in the amount of \$684.00 is enclosed for the application filing fee \$355.00 (small entity), additional claims

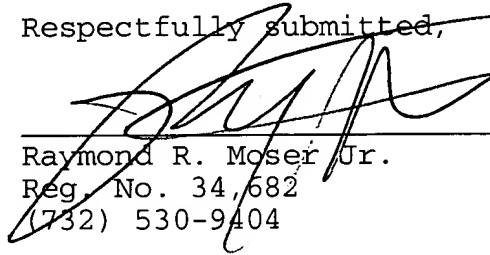
fee \$264.00, the surcharge under 37 CFR 1.16(e) in the amount of \$65.00.

Also enclosed is a check in the amount of \$40.00 for the Assignment recordation fee.

In the event these checks are omitted or insufficient, kindly charge the fees, as appropriate, to Deposit Account 20-0782. To facilitate this charge a duplicate copy of this letter is also enclosed herewith.

Respectfully submitted,

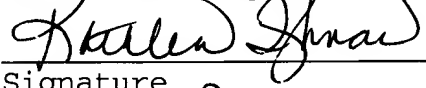
8-25-01

  
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CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on August 30, 2001, with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

  
Signature

8-30-01

Date of signature